

FORM PTO-1390 (Modified)
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

OC-529

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/868801

INTERNATIONAL APPLICATION NO.
PCT/GB99/04424INTERNATIONAL FILING DATE
23 December 1999 (23.12.99)PRIORITY DATE CLAIMED
24 December 1998 (24.12.98)

TITLE OF INVENTION

COATING COMPOSITION

APPLICANT(S) FOR DO/EO/US

PPG INDUSTRIES OHIO, INC.; FENN, David Robert and LAM, Peter Kwok

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Notice Informing the Applicant of the Communication of the International Application to the Designated Offices
Copy of Written Opinion
Copy of Response to Written Opinion



U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">09/868801</div>		INTERNATIONAL APPLICATION NO. <div style="font-weight: bold; margin-top: 5px;">PCT/GB99/04424</div>		ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold; margin-top: 5px;">OC-529</div>	
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24. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **\$1000.00**

☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$860.00**

☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$710.00**

☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690.00**

☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

Surcharge of **\$130.00** for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). ☐ 20 ☐ 30

\$860.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	12 - 20 =	0	x \$18.00	\$0.00
Independent claims	3 - 3 =	0	x \$80.00	\$0.00
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00
TOTAL OF ABOVE CALCULATIONS =				\$860.00

\$0.00

Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.

\$0.00

SUBTOTAL =

\$860.00

Processing fee of **\$130.00** for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). ☐ 20 ☐ 30

\$0.00

TOTAL NATIONAL FEE =

\$860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☒

\$40.00

TOTAL FEES ENCLOSED =

\$900.00

	Amount to be: refunded	\$
	charged	\$

a. ☐ A check in the amount of _____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 16-2025 in the amount of \$900.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-2025. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

PPG INDUSTRIES, INC.
Intellectual Property Department
One PPG Place
Pittsburgh, PA 15272

U.S. EXPRESS MAIL NO. EL 542 854 508 US

SIGNATURE
Jacques B. Miles
 NAME
42,888
 REGISTRATION NUMBER
June 21, 2001
 DATE

Page 2 of 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : PATENT APPLICATION
PPG INDUSTRIES OHIO, INC., FENN,
David Robert, and LAM, Peter Kwok : Group Art Unit
Serial No.: Not Assigned : Attorney Docket No. OC-529
Filed: Herewith : US Express Mail No. EL542854508US
For: COATING COMPOSITION :

PRELIMINARY AMENDMENT

BOX PCT
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

The following amendment is submitted concurrently with a request for filing a patent application under 35 U.S.C. 371.

Please make the following amendments to the specification and claims of the patent application.

IN THE SPECIFICATION:

At page 1, line 2 under the words "Coating Composition", please insert the following paragraphs:

-- Reference to Related Applications

This patent application claims priority of GB 9828445.8 filed on December 24, 1998, which was also filed as PCT Application No. PCT/GB99/04424 on December 23, 1999, designating the United States of America.

Field of the Invention --

At page 1, between lines 3 and 4 under the paragraph beginning with the words "This invention relates to polyurethane . . .", please insert the heading "Background" at the center of the line as a paragraph.

At page 3, between lines 7 and 8, under the words "primers for vehicle refinish.", please insert the heading "Summary of the Invention" at the center of the line as a paragraph.

At page 3, between lines 15 and 16 under the words "ketimines or aspartic esters.", please insert the heading "Detailed Description of the Invention" at the center of the line as a paragraph.

IN THE CLAIMS:

1. (Amended) A coating composition comprising:
 - i) diphenylmethane diisocyanate; and
 - ii) a hydroxyl functional compound which is a polyester having secondary hydroxyl groups, the secondary hydroxyl groups being the product of a reaction between a carboxylic acid group and an epoxide group, the composition containing substantially no reactive diluent which are aldimines, ketimines or aspartic esters.
3. (Amended) A composition as claimed in claim 1 in which the hydroxyl functional compound has a molecular weight of less than 5000.
4. (Amended) A composition as claimed in claim 1 in which the hydroxyl functional compound is the reaction product of a polyfunctional carboxylic acid and a monoepoxide.
6. (Amended) A composition as claimed in claim 4 in which the polyfunctional carboxylic acid is a polyester with two or more carboxylic acid groups.
7. (Amended) A composition as claimed in claim 4 in which the polyfunctional carboxylic acid is the reaction product of a polyol and an anhydride.

9. (Amended) A composition as claimed in claim 1 further comprising at least one pigment.

10. (Amended) A process for preparing a coating composition comprising the steps of mixing:

- i) diphenylmethane diisocyanate, and
- ii) a hydroxyl functional compound which is a polyester having secondary hydroxyl groups, the secondary hydroxyl groups being the product of a reaction between a carboxylic acid group and an epoxide group, optionally dissolved in organic solvent.

11. (Amended) A process for coating a substrate which comprises the steps of: applying a layer of a coating composition comprising:

- i) diphenylmethane diisocyanate, and
- ii) a hydroxyl functional compound which is a polyester having secondary hydroxyl groups, the secondary hydroxyl groups being the product of a reaction between a carboxylic acid group and an epoxide group, the composition containing substantially no reactive diluent which are aldimines, ketimines or aspartic esters to a surface of the substrate; and thereafter causing or allowing the layer to cure.

REMARKS

Support for the above amendments is found in the specification of the patent application as originally filed. The specification and claims have been amended to put them into conformance with United States practice. The amendments are formal and involve no new matter.

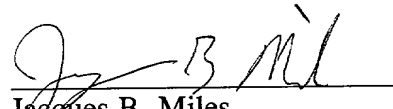
CONCLUSION

The Applicants request that the Examiner enter the above amendments before the first examination. Should the Examiner have any questions, please contact the undersigned at 412-434-2938.

June 21, 2001

PPG Industries, Inc.
Pittsburgh, Pennsylvania

Respectfully submitted,



Jacques B. Miles
Registration No. 42,888
Attorney for Applicant

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MARKED UP VERSION OF THE AMENDMENTS

IN THE SPECIFICATION:

At page 1, line 2 under the words "Coating Composition", please insert the following paragraphs:

-- Reference to Related Applications

This patent application claims priority of GB 9828445.8 filed on December 24, 1998, which was also filed as PCT Application No. PCT/GB99/04424 on December 23, 1999, designating the United States of America.

Field of the Invention --

At page 1, between lines 3 and 4 under the paragraph beginning with the words "This invention relates to polyurethane . . .", please insert the heading "Background" at the center of the line as a paragraph.

At page 3, between lines 7 and 8, under the words "primers for vehicle refinish.", please insert the heading "Summary of the Invention" at the center of the line as a paragraph.

At page 3, between lines 15 and 16 under the words "ketimines or aspartic esters.", please insert the heading "Detailed Description of the Invention" at the center of the line as a paragraph.

IN THE CLAIMS:

1. (Amended) A coating composition comprising[:];
 - i) diphenylmethane diisocyanate[,]; and
 - ii) a hydroxyl functional compound which is a polyester having secondary hydroxyl groups, the secondary hydroxyl groups being the product of a reaction between a carboxylic acid group and an epoxide group, the composition containing substantially no reactive diluent which are aldimines, ketimines or aspartic esters.

3. (Amended) A composition as claimed in claim 1 [or claim 2] in which the hydroxyl functional compound has a molecular weight of less than 5000.

4. (Amended) A composition as claimed in [any one of claims 1 to 3] claim 1 in which the hydroxyl functional compound is the reaction product of a polyfunctional carboxylic acid and a monoepoxide.

6. (Amended) A composition as claimed in claim 4 [or claim 5] in which the polyfunctional carboxylic acid is a polyester with two or more carboxylic acid groups.

7. (Amended) A composition as claimed in [any one of claims 4 to 6] claim 4 in which the polyfunctional carboxylic acid is the reaction product of a polyol and an anhydride.

9. (Amended) A composition as claimed in [any one of claims 1 to 8] claim 1 [which is pigmented] further comprising at least one pigment.

10. (Amended) A process for preparing a coating composition [as claimed in Claim 1 which comprises] comprising the steps of mixing:

- i) diphenylmethane diisocyanate, and
- ii) a hydroxyl functional compound which is a polyester having secondary hydroxyl groups, the secondary hydroxyl groups being the product of a reaction between a carboxylic acid group and an epoxide group, optionally dissolved in organic solvent.

11. (Amended) A process for coating a substrate which comprises the steps of:
applying a layer of a coating composition comprising:

- i) diphenylmethane diisocyanate, and
ii) a hydroxyl functional compound which is a polyester having secondary hydroxyl groups, the secondary hydroxyl groups being the product of a reaction between a carboxylic acid group and an epoxide group, the composition containing substantially no reactive diluent which are aldimines, ketimines or aspartic esters [as claimed in any one of claims 1 to 9,] to a surface of the substrate; and thereafter causing or allowing the layer to cure.

Coating Composition

This invention relates to polyurethane coating compositions, their preparation and use.

Coatings used for the repair and refinishing of vehicles such as motorcars (known
5 as vehicle refinish paints) are required to have very good physical properties such as
hardness and resistance to water and solvents. The coating compositions are also required
to have good application and appearance properties so that they are easy to apply to a
substrate using spray application and result in final films having a good gloss.

One class of coating compositions commonly used for these applications
10 comprises a hydroxyl functional polymer, such as a polyester or acrylic polymer, and a
polyisocyanate. These two components react together after application to a substrate to
form a polyurethane coating. These compositions are often thus referred to as
'polyurethane coating compositions' although strictly the polyurethane is only formed
when the coating crosslinks.

15 The two components of polyurethane coating compositions begin to react together
as soon as they are mixed and for this reason these compositions are usually supplied in
the form of two components which are mixed together shortly before use. Because of the

reaction between the components, the viscosity of the mixture begins to rise slowly right from first mixing. The mixed compositions have a limited useful life, referred to as the 'pot-life' after which the viscosity rise makes them unusable. Eventually, the mixed compositions in bulk become solid, or semi-solid. Typical pot-lives for vehicle refinish polyurethane coating compositions range from 30 minutes to 5 hours, typically 30 minutes to 3 hours.

Several different types of polyisocyanate are known for use in these types of composition, including the isocyanurate trimers of hexamethylene diisocyanate, and isophorone diisocyanate and polyisocyanate prepolymers made by reaction of these diisocyanates and others such as toluene diisocyanates with polyols such as trimethylol propane.

One other type of polyisocyanate is diphenylmethane diisocyanate, a mixture of 2 isomers, 4,4- and 2,4-, also known as MDI. MDI is widely used in producing polyurethane foams. MDI is available in several forms, based on two types of product, purified monomeric MDI and polymeric MDI. MDI is relatively cheap amongst polyisocyanates and polymeric MDI is a low viscosity liquid at room temperature. In these respects MDI would be ideal for use in polyurethane coating compositions for vehicle refinish paints, particularly for primers. However, the isocyanate groups on MDI are very reactive and it has been found impossible to simply use MDI as a direct replacement for the other useful polyisocyanates in these types of composition because the resulting compositions have too short a pot-life. Thus, it has not been realistically possible to use MDI in practical refinish paints even though it has several attractive properties.

We have now discovered that it is possible to formulate practical polyurethane coating compositions which contain MDI by using a particular hydroxyl containing component having secondary hydroxyl groups, the secondary hydroxyl groups being the product of a reaction between a carboxylic group and an epoxide group. This type of hydroxyl containing component has itself been suggested for use in polyurethane vehicle refinish paints but only in conjunction with a reactive diluent having blocked primary amine groups or hindered secondary amine groups which are ketimines, aldimines or aspartic esters. These reactive diluents are expensive and this is a disincentive to the use of these compositions. Furthermore these previously proposed compositions often have to be formulated as

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According to the present invention there is provided a coating composition comprising ;

- A further benefit of using diphenylmethane diisocyanate (MDI) is that the compositions do not require the presence of a reactive diluent to lower the viscosity of the composition, or to increase the speed of reaction of the components.

Preferably the hydroxyl functional compound has a molecular weight of less than 5000, more preferably less than 2500 and most preferably less than 2000.

Preferably the hydroxyl functional compound is the reaction product of a polyfunctional carboxylic acid and a monoepoxide, and so has secondary hydroxyl groups

arising from the reaction of the acid groups on the polycarboxylic acid with the epoxy group on the monoepoxide.

Preferred monoepoxides are glycidyl esters of C2-C20 alkanolic acids, preferably C8-C9 tertiary carboxylic acids such as versatic acid. One particularly suitable monoepoxide is Cardura E10TM.

The polyfunctional carboxylic acid can be a polyester with two or more carboxylic acid groups, preferably two to four carboxylic acid groups, more preferably two or three such groups. Polyesters are compounds containing more than one ester group. Acid functional polyesters can be made by conventional methods from a mixture of polyols, 10 diacids and anhydrides. One preferred acid functional polyester can be made by reaction of a polyol with a carboxylic acid anhydride. Polyols are compounds with more than one hydroxyl group. Preferably the polyol has from two to six hydroxyl groups per molecule. Preferably the polyol has a molecular weight of 66 to 150. Examples of suitable polyols are ethylene glycol, propylene glycol, 1,4-butane diol, neopentyl glycol, hexane-1,6-diol, 15 glycerol, trimethylol propane and pentaerythritol. Preferred anhydrides are cyclic internal anhydrides of dicarboxylic acids such as maleic anhydride, succinic anhydride, phthalic anhydride and hexahydroxyphthalic anhydride.

Preferably the reaction between the anhydride and the polyol is carried out substantially stoichiometrically so that one anhydride molecule reacts with each hydroxyl 20 group on the polyol. The resulting polyfunctional acid compound has substantially the same number of acid groups as the polyol had hydroxyl groups. Because the hydroxyl/anhydride reaction occurs at a lower temperature than the carboxyl/hydroxyl or transesterification reactions, there are few side reactions and the molecular weight of the product can be controlled.

25 The reaction between the polyol and the anhydride can be carried out by dissolving the components in an organic solvent and then heating the solution preferably to between about 100 and 130°C for between about 1 and 3 hours so as to produce the polyfunctional carboxylic acid.

The reaction between the polyfunctional carboxylic acid and the monoepoxide can be carried out by adding the monoepoxide to a solution of the polycarboxylic acid in a suitable organic solvent in an amount so as to react each of the hydroxyl groups on the polyol with an epoxide group, and heating the mixture to between about 130 and 160°C for between about 2 and 12 hours.

The compositions can optionally contain a volatile organic solvent. The volatile organic solvent can be any solvent which will dissolve the polyisocyanate, and the hydroxyl functional compound. It can be an aliphatic or aromatic hydrocarbon such as Solvesso 100™, toluene or xylene, an alcohol such as butanol or isopropanol, an ester such as butyl acetate or ethyl acetate, a ketone such as acetone, methyl isobutyl ketone or methyl ethyl ketone, an ether, an ether-alcohol or an ether-ester or a mixture of any of these.

Preferably the compositions contain less than 500 g/l of volatile organic solvent based on the total composition, more preferably less than 480 g/l still more preferably less than 420g/l and most preferably less than 250g/l.

The compositions can also contain catalysts for the isocyanate-hydroxyl reaction. Suitable catalysts include tin catalysts such as dibutyl tin dilaurate and amine catalysts such as triethylamine. The compositions can also contain other conventional paint additives such as, pigments, fillers, UV absorbers and flow aids. Preferably the composition is pigmented, that is, it also contains pigments and optionally fillers. Most preferably, the composition is pigmented so as to be useful as a vehicle refinish primer.

The compositions can be made by mixing the components, optionally dissolved in organic solvent, in any order.

The coating composition of the invention can be applied to the surface of a substrate and then allowed or caused to dry and cure. According to the present invention there is provided a process for coating a substrate which comprises the steps of applying a layer of a coating composition according to the present invention to a surface of the substrate and thereafter causing or allowing the layer to cure.

The coating composition can be applied by conventional means such as by brush, roller or spray, preferably spray. The substrate can be for example, metal, plastic, wood or glass. The compositions are particularly useful for refinishing motor vehicles, and especially as primers.

5 The applied layer can be allowed to cure at ambient temperature in the case where the hydroxyl polymer and crosslinker react together at ambient temperatures. Alternatively the layer can be baked at elevated temperatures, for example 50-120°C to accelerate curing. Drying and curing typically takes between 5 minutes and 24 hours depending on the ambient conditions and on the particular components used. Conveniently it takes about 10 15 minutes to about 5 hours.

According to the present invention there is also provided a coated article obtainable by the process.

The invention will now be illustrated by means of the following examples.
The MDI used in the samples was Suprasec DNR from ICI Polyurethanes.

EXAMPLES

15 Polyester 1

A mixture of neopentyl glycol (5.1 parts), 1,4-butanediol (4.5 parts) and hexahydroxy phthalic anhydride (30.4 parts) were heated under nitrogen to about 95°C so as to melt the components. The molten mixture was stirred and the temperature was slowly raised until an exotherm occurred at about 130°C. At this point, heating was stopped and the 20 temperature went up to 170°C, then down to 150°C whereupon heating was resumed and the mixture was held at 150°C for 1 hour. The mixture was then allowed to cool for 1 hour. The mixture was heated back up to 150°C, Cardura E10™ (glycidyl ester of a C8-9 carboxylic acid from Shell, 50.0 parts) was added dropwise over a period of 3.5 hours and the mixture was allowed to cool to room temperature and left overnight. The mixture was 25 heated to 150°C for a further 7 hours before being allowed to cool to room temperature. Butyl acetate (10 parts) was added to give a theoretical non-volatile solids content of 90%. The final measured acid value was 0.5 mgKOH/g based on non-volatile content. The number average molecular weight as measured by G.P.C. was 914.

Primer composition

The following were added slowly in the order given to a mixture of Polyester 1 from above (27.8 parts), methyl isoamyl ketone (MIAK, 18.5 parts) and Byk 220S dispersant (2.78 parts), bentone (0.91 parts), talc (43 parts), extender (34.4 parts), titanium 5 dioxide (43.3 parts), zinc phosphate pigment ZPA (18.2 parts), black tinter (2.3 parts) and defoamer (2.8 parts). The mixture was dispersed for a further 20 minutes. Further MIAK (6.0 parts) was added to form a pigmented primer base.

Polyester 1 (41.4 parts) and MDI (33.9 parts) were added to the primer composition and the mixture was thinned to 25 seconds BSB4 with MIAK.

- 10 The viscosity of the bulk composition was measured using a BSB4 cup 0.5 hours
after making it as a guide to its pot-life to be 29 seconds.

-8-

Polyester 2

A mixture of neopentyl glycol (5.6 parts), trimethylol propane (4.8 parts) and hexahydroxy phthalic anhydride (33.2 parts) were heated under nitrogen to about 95°C so as to melt the components. The molten mixture was stirred and the temperature was slowly raised until an exotherm occurred at about 130°C. At this point, heating was stopped and the temperature went up to 165°C, then down to 150°C whereupon heating was resumed and the mixture was held at 150°C for 1 hour. Cardura E10™ (glycidyl ester of a C8-9 carboxylic acid from Shell, 48.6 parts) was added dropwise over a period of 3.5 hours and the mixture was allowed to cool to room temperature and left overnight. The mixture was heated to 150°C for a further 7 hours before being allowed to cool to room temperature. Butyl acetate (7.7 parts) was added. The final measured acid value was 16.8 mgKOH/g based on non-volatile content. The number average molecular weight as measured by G.P.C. was 870.

Polyester 3

Stage 1

Adipic acid (49.7 parts), trimethylol propane (13.0 parts) and neopentyl glycol (30.4 parts) were heated with stirring under a nitrogen atmosphere until the mixture began to exotherm (at about 95°C) when heating was stopped. The temperature of the mixture rose to about 135°C due to the exotherm. When the exotherm was over and the temperature began to fall, heating was resumed and the temperature was raised to 205°C. As the temperature was raised, water was removed via a fractionating column. When the mixture was at 205°C and the evolution of water had slowed, the mixture was allowed to cool.

Stage 2

The fractionating column was replaced with a Dean & Stark column filled with methyl isobutyl ketone. Methyl isobutyl ketone (1.4 parts) was added to the mixture and the temperature was raised to reflux to remove further water. When the acid value of the mixture reached 15 mgKOH/g non-vol. the heat was removed. The temperature was allowed to fall to 100 °C and then butyl acetate (5.5 parts) was added. The number average molecular weight as measured by G.P.C. was 1900.

Primer composition 2

The following were added slowly in the order given to a mixture of Polyester 2 from above (13.27 parts), methyl isoamyl ketone (MIAK, 9.27 parts) and Byk 220S dispersant (1.40 parts). Bentone (0.45 parts), silica extender (0.74 parts), calcium magnesium carbonate (18.75 parts), magnesium silicate (11.48 parts), zinc phosphate pigment ZPA (12.00 parts), titanium dioxide (27.51 parts) black tinter (1.24 parts) and defoamer (1.41 parts). The mixture was dispersed for a further 20 minutes. Further MIAK (1.79 parts) and Polyester 2 (23.196 parts) were added to form a pigmented primer base.

MDI (Suprasec DNR available from ICI Polyurethanes, 10.26 parts) was added to the primer composition and the mixture was thinned to 25 seconds BSB4 with butyl acetate.

The viscosity of the bulk composition was measured using a BSB4 cup 1 hour after making it as a guide to its pot-life.

Primer composition 3

The following were added slowly in the order given to a mixture of Polyester 3 from above (13.27 parts), methyl isoamyl ketone (MIAK, 9.27 parts) and Byk 220S dispersant (1.40 parts). Bentone (0.45 parts), silica extender (0.74 parts), calcium magnesium carbonate (18.75 parts), magnesium silicate (11.48 parts), zinc phosphate pigment ZPA (12.00 parts), titanium dioxide (27.51 parts) black tinter (1.24 parts) and defoamer (1.41 parts). The mixture was dispersed for a further 20 minutes. Further MIAK (1.79 parts) and Polyester 3 (23.196 parts) were added to form a pigmented primer base.

MDI (Suprasec DNR available from ICI Polyurethanes, 10.26 parts) was added to the primer composition and the mixture was thinned to 25 seconds BSB4 with butyl acetate.

The viscosity of the bulk composition was measured using a BSB4 cup 1 hour after making it as a guide to its pot-life.

Primer compositions 2 and 3 were tested by spray applying the primer to cold rolled steel test panels, testing the primers for dust free time, print free time and hardness, and topcoating with a colourcoat and then evaluating the test panels for cross hatch adhesion and appearance. Four test panels were sanded with P180 sandpaper and solvent wiped

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with white spirit. The panels were the coated with 20-25 microns of chromate free etch primer P565-713 (available from ICI Autocolor, used as instructed on the product data sheet). Primer 2 and primer 3 were each spray applied on to two of the panels to give a film build of 100-120 microns. One panel coated with each primer was used for the evaluations shown in the table below.

	Initial viscosity (/secs BSB4 cup)	Viscosity after 1 hour (/secs BSB4 cup)	Solids at 25 seconds BSB4 cup viscosity	Dust free time (/minutes)	Print free time (/minutes)	Konig hardness	
						1 hour	1 day
Primer 2	25	38	65.3%	40	90	32	64
Primer 3	26	66	58.3%	35	90	13	19

The dust free time (DFT) of each system was determined by dropping dust on to the panel at five-minute intervals. The DFT was the time after application when the dust could be removed using a small brush without marking the coating. The print free time (PFT) was determined by placing a 1" disc of Whatmann No. 1 filter paper on the drying panel under a 1" rubber bung and a 50 g weight. The filter paper is held in place for exactly sixty seconds and the weight and bung are then removed. The panel is then lifted and inverted. The PFT was the first time when the filter paper falls off without leaving a mark on the coating.

The results show that primer 2 has a longer pot-life and better hardness development than primer 3.

The remaining panels were left at room temperature for four hours and then sanded with P360 sandpaper. The panel coated with primer 2 flatted easily. The panel coated with primer 3 was soft and difficult to flat.. 100 parts by weight of Aquabase medium coarse aluminium basic P968-9987 was mixed with 10.3 parts by weight of Aquabase activator P935-2018 and 3 parts by weight of Aquabase thinner P275-366 (all available from ICI Autocolor). This was applied to both panels to give a film build of 12-15 microns. Both panels were then coated with P190-670 clearcoat (available from ICI Autocolor, used as instructed on the product data sheet). Both panels had excellent appearance and there was no discernible deterioration in appearance over one month. Crosshatch adhesion was

measured according to ASTM D3359 one day and one month after application. Both panels gave 100% adhesion.

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
1	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
2	2	4	6	8	10	12	14	16	18	20	22	24	26	28	30	32	34	36	38	40	42	44	46	48	50	52	54	56	58	60	62	64	66	68	70	72	74	76	78	80	82	84	86	88	90	92	94	96	98	100	102	104	106	108	110	112	114	116	118	120	122	124	126	128	130	132	134	136	138	140	142	144	146	148	150	152	154	156	158	160	162	164	166	168	170	172	174	176	178	180	182	184	186	188	190	192	194	196	198	200
3	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48	51	54	57	60	63	66	69	72	75	78	81	84	87	90	93	96	99	102	105	108	111	114	117	120	123	126	129	132	135	138	141	144	147	150	153	156	159	162	165	168	171	174	177	180	183	186	189	192	195	198	201	204	207	210	213	216	219	222	225	228	231	234	237	240	243	246	249	252	255	258	261	264	267	270	273	276	279	282	285	288	291	294	297	300
4	4	8	12	16	20	24	28	32	36	40	44	48	52	56	60	64	68	72	76	80	84	88	92	96	100	104	108	112	116	120	124	128	132	136	140	144	148	152	156	160	164	168	172	176	180	184	188	192	196	200	204	208	212	216	220	224	228	232	236	240	244	248	252	256	260	264	268	272	276	280	284	288	292	296	300	304	308	312	316	320	324	328	332	336	340	344	348	352	356	360	364	368	372	376	380	384	388	392	396	400
5	5	10	15	20	25	30	35	40	45	50	55	60	65	70	75	80	85	90	95	100	105	110	115	120	125	130	135	140	145	150	155	160	165	170	175	180	185	190	195	200	205	210	215	220	225	230																																																						

CLAIMS

1. A coating composition comprising;
 - i) diphenylmethane diisocyanate, and
 - ii) a hydroxyl functional compound which is a polyester having secondary hydroxyl groups, the secondary hydroxyl groups being the product of a reaction between a carboxylic acid group and an epoxide group, the composition containing substantially no reactive diluent which are aldimines, ketimines or aspartic esters.
2. A composition as claimed in Claim 1 in which the ratio of the isocyanate groups on the diphenylmethane diisocyanate to the total number of hydroxyl groups on the hydroxyl functional compound is 0.7:1 to 3.1.
3. A composition as claimed in claim 1 or claim 2 in which the hydroxyl functional compound has a molecular weight of less than 5000.
4. A composition as claimed in any one of claims 1 to 3 in which the hydroxyl functional compound is the reaction product of a polyfunctional carboxylic acid and a monoepoxide.
5. A composition as claimed in claim 4 in which the monoepoxide is a glycidyl ester of a C8-C9 tertiary carboxylic acid.
6. A composition as claimed in claim 4 or claim 5 in which the polyfunctional carboxylic acid is a polyester with two or more carboxylic acid groups.
7. A composition as claimed in any one of claims 4 to 6 in which the polyfunctional carboxylic acid is the reaction product of a polyol and an anhydride.

8. A composition as claimed in Claim 7 in which the polyol has from 2 to 4 hydroxyl groups.
- 9 A composition as claimed in any one of claims 1 to 8 which is pigmented.
10. A process for preparing a composition as claimed in Claim 1 which comprises mixing
 - i) diphenylmethane diisocyanate, and
 - ii) a hydroxyl functional compound which is a polyester having secondary hydroxyl groups, the secondary hydroxyl groups being the product of a reaction between a carboxylic acid group and an epoxide group, optionally dissolved in organic solvent.
11. A process for coating a substrate which comprises the steps of applying a layer of a coating composition as claimed in any one of claims 1 to 9, to a surface of the substrate and thereafter causing or allowing the layer to cure.
12. A coated substrate obtainable by the process of Claim 11.

0036364-16340

Coating Composition

i) diphenylmethane diisocyanate, and

ii) a hydroxyl functional compound which is a polyester having secondary hydroxyl groups, the secondary hydroxyl groups being the product of a reaction between a carboxylic acid group and an epoxide group,

the composition containing substantially no reactive diluent which are aldimines, ketimines or aspartic esters.

DECLARATION FOR PATENT APPLICATION

Docket Number (Optional)
OC-529

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **COATING COMPOSITION**, the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as United States Application Number or PCT International Application Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

9828445.8

(Number)

Great Britain

(Country)

24 December 1998

(Day/Month/Year Filed)

Priority Claimed

☒ Yes ☐ No

☐ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, § 119(c) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

PCT/GB99/04424

(Application Number)

23 December 1999

(Filing Date)

Pending

(Status - patented, pending, abandoned)

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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